



Sector #

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/916,792	07/27/2001	Sean James Martin	GB920010043US1

CONFIRMATION NO. 8788

## FORMALITIES LETTER



\*OC000000006508815\*

A. Bruce Clay  
IBM Corporation T81/503  
PO Box 12195  
Research Triangle Park, NC 27709

Date Mailed: 09/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

09/19/2001 KZENDIE 00000043 090461 09916792

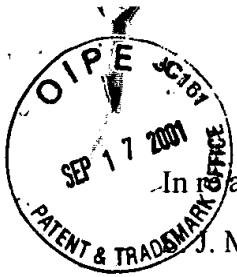
01 FC:105 130:00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#3

## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

In re application of : September 13, 2001  
J. Martin, et al : IBM Corporation  
Ser. No. 09/916,792 : Dept. T81/Bldg. 062  
Filed: 07/27/2001 : P.O. Box 12195  
For: Regulating Access to a Scarce Resource : Park, NC 27709

## Notice to File Missing Parts of Application

## Filing Date Granted

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts dated September 4, 2001, please find enclosed a Declaration and Power of Attorney with original signatures for the above identified application.

The Examiner is authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0461. A duplicate copy of this sheet is enclosed.

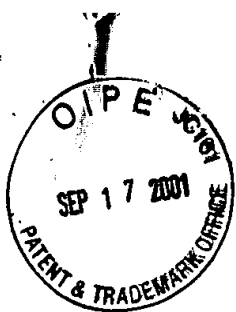
The surcharge of \$130.00 set forth in 37 CFR 1.16(e)

- ☒ Any additional filing fees required under 37 C.F.R. §1.16.  
☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

A. Bruce Clay  
Attorney for Applicants  
Reg. No. 32,121

ABC:cmr  
Enclosure  
Docket No: GB920010043US1  
Phone: 919-254-6717  
Fax: 919-254-4330



Docket No. GB920010043US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: S. J. Martin, et al  
Serial No.: 09/916,792  
Filed: 07/27/2001  
For: Regulating Access to a scarce Resource

Assistant Commissioner of Patents

Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

1. Notice to File Missing Parts of Application (In duplicate).
2. Copy of Notice to File Missing Parts received from Patent Office.
3. Declaration and Power of Attorney form signed by all inventors.
4. Business Reply Post Card

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner of Patents  
Attention: Office of Initial Patent Examination's Customer Service Center  
Washington, D.C. 20231

on September 13, 2001

Catherine M. Robbins  
(Name of person mailing paper or fee)

*Catherine M. Robbins*  
(Signature of person mailing paper or fee)